

General information about company	
Scrip code	533644
NSE Symbol	UJAAS
MSEI Symbol	
ISIN	INE899L01022
Name of the entity	UJAAS ENERGY LIMITED
Date of start of financial year	01-04-2022
Date of end of financial year	31-03-2023
Reporting Quarter	Yearly
Date of Report	31-03-2023
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Top 2000 listed entities

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

of board of directors explanatory																	
entity has a Regular Chairperson				Yes													
person is related to MD or CEO				No													
				Disqualification of Directors under section 164 of the Companies Act, 2013													
Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No. of Independent Directors in listed entity including this listed entity (Refer Regulation 17A(1) Listing Regulations)	
9	Non-Executive - Non Independent Director	Chairperson	04-11-1943	No				Active	Yes	30-09-2022	09-06-1999	24-09-2015			1	0	
5	Non-Executive - Non Independent Director	Not Applicable	31-08-1971	No				Active	NA		09-06-1999	24-09-2015			1	0	
2	Non-Executive - Non Independent Director	Not Applicable	16-09-1976	No				Active	NA		09-06-1999	24-09-2015			1	0	

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00113172	ANURAG MUNDRA	Non-Executive - Non Independent Director	Member	24-09-2015		Textual Information(1)
2	00113172	ANURAG MUNDRA	Non-Executive - Non Independent Director	Member	24-09-2015		Textual Information(2)

Sr Text Block	
Textual Information(1)	<p>The company is under corporate insolvency resolution process and thus the provisions are not applicable as per the following regulation of LODR.:</p> <p>15. 59[(2A) The provisions as specified in regulation 17 shall not be applicable during the insolvency resolution process period in respect of a listed entity 60[or a high value debt listed entity] which is undergoing corporate insolvency resolution process under the Insolvency Code:</p> <p>Provided that the role and responsibilities of the board of directors as specified under regulation 17 shall be fulfilled by the interim resolution professional or resolution professional in accordance with sections 17 and 23 of the Insolvency Code.</p> <p>(2B) The provisions as specified in regulations 18, 19, 20 and 21 shall not be applicable during the insolvency resolution process period in respect of a listed entity 61[or a high value debt listed entity] which is undergoing corporate insolvency resolution process under the Insolvency Code:</p> <p>Provided that the roles and responsibilities of the committees specified in the respective regulations shall be fulfilled by the interim resolution professional or resolution professional.]62</p>
Textual Information(2)	<p>The company is under corporate insolvency resolution process and thus the provisions are not applicable as per the following regulation of LODR.:</p> <p>15. 59[(2A) The provisions as specified in regulation 17 shall not be applicable during the insolvency resolution process period in respect of a listed entity 60[or a high value debt listed entity] which is undergoing corporate insolvency resolution process under the Insolvency Code:</p> <p>Provided that the role and responsibilities of the board of directors as specified under regulation 17 shall be fulfilled by the interim resolution professional or resolution professional in accordance with sections 17 and 23 of the Insolvency Code.</p> <p>(2B) The provisions as specified in regulations 18, 19, 20 and 21 shall not be applicable during the insolvency resolution process period in respect of a listed entity 61[or a high value debt listed entity] which is undergoing corporate insolvency resolution process under the Insolvency Code:</p> <p>Provided that the roles and responsibilities of the committees specified in the respective regulations shall be fulfilled by the interim resolution professional or resolution professional.]62</p>

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00113172	ANURAG MUNDRA	Non-Executive - Non Independent Director	Member	24-09-2015		Textual Information(1)

Sr Text Block	
Textual Information(1)	<p>The company is under corporate insolvency resolution process and thus the provisions are not applicable as per the following regulation of LODR.:</p> <p>15. 59[(2A) The provisions as specified in regulation 17 shall not be applicable during the insolvency resolution process period in respect of a listed entity 60[or a high value debt listed entity] which is undergoing corporate insolvency resolution process under the Insolvency Code:</p> <p>Provided that the role and responsibilities of the board of directors as specified under regulation 17 shall be fulfilled by the interim resolution professional or resolution professional in accordance with sections 17 and 23 of the Insolvency Code.</p> <p>(2B) The provisions as specified in regulations 18, 19, 20 and 21 shall not be applicable during the insolvency resolution process period in respect of a listed entity 61[or a high value debt listed entity] which is undergoing corporate insolvency resolution process under the Insolvency Code:</p> <p>Provided that the roles and responsibilities of the committees specified in the respective regulations shall be fulfilled by the interim resolution professional or resolution professional.]62</p>

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00113172	ANURAG MUNDRA	Non-Executive - Non Independent Director	Member	14-02-2019		Textual Information(1)

Sr Text Block	
Textual Information(1)	<p>The company is under corporate insolvency resolution process and thus the provisions are not applicable as per the following regulation of LODR.:</p> <p>15. 59[(2A) The provisions as specified in regulation 17 shall not be applicable during the insolvency resolution process period in respect of a listed entity 60[or a high value debt listed entity] which is undergoing corporate insolvency resolution process under the Insolvency Code:</p> <p>Provided that the role and responsibilities of the board of directors as specified under regulation 17 shall be fulfilled by the interim resolution professional or resolution professional in accordance with sections 17 and 23 of the Insolvency Code.</p> <p>(2B) The provisions as specified in regulations 18, 19, 20 and 21 shall not be applicable during the insolvency resolution process period in respect of a listed entity 61[or a high value debt listed entity] which is undergoing corporate insolvency resolution process under the Insolvency Code:</p> <p>Provided that the roles and responsibilities of the committees specified in the respective regulations shall be fulfilled by the interim resolution professional or resolution professional.]62</p>

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00113199	SHYAMSUNDER MUNDRA	Non-Executive - Non Independent Director	Chairperson	24-09-2015		
2	00113145	VIKALP MUNDRA	Non-Executive - Non Independent Director	Member	24-09-2015		
3	00113172	ANURAG MUNDRA	Non-Executive - Non Independent Director	Member	24-09-2015		

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1	00113199	SHYAMSUNDER MUNDRA	Compensation Committee	Non-Executive - Non Independent Director	Member	
2	00113172	ANURAG MUNDRA	Fund Raising Committee	Non-Executive - Non Independent Director	Chairperson	
3	00113199	SHYAMSUNDER MUNDRA	Fund Raising Committee	Non-Executive - Non Independent Director	Member	
4	00113145	VIKALP MUNDRA	Fund Raising Committee	Non-Executive - Non Independent Director	Member	

Annexure 1								
Annexure 1								
III. Meeting of Board of Directors								
Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	11-11-2022				Yes	3	3	0
2		10-02-2023	90		Yes	3	3	0

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	No
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	No
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	No
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	No
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Textual Information(1)

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Sarvesh Diwan
2	Designation	Company Secretary and Compliance Officer

Text Block	
Textual Information(1)	<p>The Corporate Insolvency Resolution Process (CIRP) is ordered w.e.f. 17th September, 2020. Consequently, the power of the Board of Directors of the Company has been suspended and vested with the Resolution Professional (RP). The company is under corporate insolvency resolution process and thus the provisions are not applicable as per the following regulation of LODR.:</p> <p>15. 59[(2A) The provisions as specified in regulation 17 shall not be applicable during the insolvency resolution process period in respect of a listed entity 60[or a high value debt listed entity] which is undergoing corporate insolvency resolution process under the Insolvency Code:</p> <p>Provided that the role and responsibilities of the board of directors as specified under regulation 17 shall be fulfilled by the interim resolution professional or resolution professional in accordance with sections 17 and 23 of the Insolvency Code.</p> <p>(2B) The provisions as specified in regulations 18, 19, 20 and 21 shall not be applicable during the insolvency resolution process period in respect of a listed entity 61[or a high value debt listed entity] which is undergoing corporate insolvency resolution process under the Insolvency Code:</p> <p>Provided that the roles and responsibilities of the committees specified in the respective regulations shall be fulfilled by the interim resolution professional or resolution professional.]62</p>

Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		www.ujaas.com
2	Terms and conditions of appointment of independent directors	Yes		www.ujaas.com
3	Composition of various committees of board of directors	Yes		www.ujaas.com
4	Code of conduct of board of directors and senior management personnel	Yes		www.ujaas.com
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		www.ujaas.com
6	Criteria of making payments to non-executive directors	Yes		www.ujaas.com
7	Policy on dealing with related party transactions	Yes		www.ujaas.com
8	Policy for determining 'material' subsidiaries	Yes		www.ujaas.com
9	Details of familiarization programmes imparted to independent directors	Yes		www.ujaas.com

Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		www.ujaas.com
11	email address for grievance redressal and other relevant details	Yes		www.ujaas.com
12	Financial results	Yes		www.ujaas.com
13	Shareholding pattern	Yes		www.ujaas.com
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	Yes		www.ujaas.com
16	New name and the old name of the listed entity	Yes		www.ujaas.com
17	Advertisements as per regulation 47 (1)	Yes		www.ujaas.com
18	Credit rating or revision in credit rating obtained	Yes		www.ujaas.com
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	NA		
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		www.ujaas.com
21	Materiality Policy as per Regulation 30	Yes		www.ujaas.com
22	Dividend Distribution policy as per Regulation 43A (as applicable)	Yes		www.ujaas.com
23	It is certified that these contents on the website of the listed entity are correct	Yes		www.ujaas.com

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	No	The Corporate Insolvency Resolution Process (CIRP) is ordered w.e.f. 17th September, 2020. Consequently, the power of the Board of Directors of the Company has been suspended and vested with the Resolution Professional (RP).
2	Board composition	17(1), 17(1A) & 17(1B)	No	The Corporate Insolvency Resolution Process (CIRP) is ordered w.e.f. 17th September, 2020. Consequently, the power of the Board of Directors of the Company has been suspended and vested with the Resolution Professional (RP).
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	No	The Corporate Insolvency Resolution Process (CIRP) is ordered w.e.f. 17th September, 2020. Consequently, the power of the Board of Directors of the Company has been suspended and vested with the Resolution Professional (RP).
16	Meeting of Audit Committee	18(2)	No	The Corporate Insolvency Resolution Process (CIRP) is ordered w.e.f. 17th September, 2020. Consequently, the power of the Board of Directors of the Company has been suspended and vested with the Resolution Professional (RP).
17	Composition of nomination & remuneration committee	19(1) & (2)	No	The Corporate Insolvency Resolution Process (CIRP) is ordered w.e.f. 17th September, 2020. Consequently, the power of the Board of Directors of the Company has been suspended and vested with the Resolution Professional (RP).
18	Quorum of Nomination and Remuneration Committee meeting	19(2A)	No	The Corporate Insolvency Resolution Process (CIRP) is ordered w.e.f. 17th September, 2020. Consequently, the power of the Board of Directors of the Company has been suspended and vested with the Resolution Professional (RP).
19	Meeting of Nomination and Remuneration Committee	19(3A)	No	The Corporate Insolvency Resolution Process (CIRP) is ordered w.e.f. 17th September, 2020. Consequently, the power of the Board of Directors of the Company has been suspended and vested with the Resolution Professional (RP).
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	No	The Corporate Insolvency Resolution Process (CIRP) is ordered w.e.f. 17th September, 2020. Consequently, the power of the Board of Directors of the Company has been suspended and vested with the Resolution Professional (RP).

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
21	Meeting of Stakeholders Relationship Committee	20(3A)	No	The Corporate Insolvency Resolution Process (CIRP) is ordered w.e.f. 17th September, 2020. Consequently, the power of the Board of Directors of the Company has been suspended and vested with the Resolution Professional (RP).
22	Composition and role of risk management committee	21(1),(2),(3), (4)	NA	
23	Meeting of Risk Management Committee	21(3A)	NA	
24	Vigil Mechanism	22	Yes	
25	Policy for related party Transaction	23(1),(1A),(5), (6),(7) & (8)	Yes	
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	NA	
27	Approval for material related party transactions	23(4)	Yes	
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4), (5) & (6)	NA	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
31	Annual Secretarial Compliance Report	24(A)	Yes	
32	Alternate Director to Independent Director	25(1)	NA	
33	Maximum Tenure	25(2)	Yes	
34	Meeting of independent directors	25(3) & (4)	No	The Corporate Insolvency Resolution Process (CIRP) is ordered w.e.f. 17th September, 2020. Consequently, the power of the Board of Directors of the Company has been suspended and vested with the Resolution Professional (RP).
35	Familiarization of independent directors	25(7)	Yes	
36	Declaration from Independent Director	25(8) & (9)	Yes	
37	D & O Insurance for Independent Directors	25(10)	NA	
38	Memberships in Committees	26(1)	Yes	
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
	Any other information to be provided		Textual Information(1)	

Text Block	
Textual Information(1)	<p>The company is under corporate insolvency resolution process and thus the provisions are not applicable as per the following regulation of LODR.:</p> <p>15. 59[(2A) The provisions as specified in regulation 17 shall not be applicable during the insolvency resolution process period in respect of a listed entity 60[or a high value debt listed entity] which is undergoing corporate insolvency resolution process under the Insolvency Code:</p> <p>Provided that the role and responsibilities of the board of directors as specified under regulation 17 shall be fulfilled by the interim resolution professional or resolution professional in accordance with sections 17 and 23 of the Insolvency Code.</p> <p>(2B) The provisions as specified in regulations 18, 19, 20 and 21 shall not be applicable during the insolvency resolution process period in respect of a listed entity 61[or a high value debt listed entity] which is undergoing corporate insolvency resolution process under the Insolvency Code:</p> <p>Provided that the roles and responsibilities of the committees specified in the respective regulations shall be fulfilled by the interim resolution professional or resolution professional.]62</p>

Annexure II		
1	Name of signatory	Sarvesh Diwan
2	Designation	Company Secretary and Compliance Officer

Annexure II		
III. Affirmations		
Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA
	Any other information to be provided	

Annexure II		
1	Name of signatory	Sarvesh Diwan
2	Designation	Company Secretary and Compliance Officer

Additional Half yearly Disclosure		
Applicability of disclosure	Not Applicable	
Reason for Non Applicability	Textual Information(1)	

Text Block	
Textual Information(1)	<p>The below mentioned points are not applicable as company has not provided any</p> <p>A. any loan or any other form of debt advanced by the listed entity directly or indirectly to promoter or any other entity controlled by them, promoter group or any other entity controlled by them, directors (including relatives) or any other entity controlled by them, KMPs or any other entity controlled by them.</p> <p>B. Any guarantee/comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by promoter or any other entity controlled by them, promoter group or any other entity controlled by them, directors (including relatives) or any other entity controlled by them, KMPs or any other entity controlled by them.</p> <p>C. Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by promoter or any other entity controlled by them, promoter group or any other entity controlled by them, directors (including relatives) or any other entity controlled by them, KMPs or any other entity controlled by them.</p>

Signatory Details	
Name of signatory	Sarvesh Diwan
Designation of person	Company Secretary and Compliance Officer
Place	Indore
Date	13-04-2023

